

General information about company

| | |
|--|--------------------------------|
| Scrip code | 507528 |
| NSE Symbol | EASTSUGIND |
| MSEI Symbol | NOTLISTED |
| ISIN | INE889B01016 |
| Name of the company | EASTERN SUGAR & INDUSTRIES LTD |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2020 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | No | Yes | No |

| | | | | | | | | | | | |
|--|-----------------|--|--|--|-----|----------|-------|--------|------|----------|--|
| | Employee Trusts | | | | | | | | | | |
| | Total | | | | 100 | 13930568 | 47.79 | 115000 | 0.39 | 26315700 | |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V) + (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|--|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 5 | 1542865 | | | 1542865 | 5.29 | 1542865 | | 1542865 | 2.75 |
| (d) | Any Other (specify) | 3 | 50174 | | | 50174 | 0.17 | 50174 | | 50174 | 0.09 |
| Sub-Total (A)(1) | | 8 | 1593039 | | | 1593039 | 5.46 | 1593039 | | 1593039 | 2.84 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 8 | 1593039 | | | 1593039 | 5.46 | 1593039 | | 1593039 | 2.84 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |

| | | | | | | | | | | | |
|--|---|---|----------|--|--|----------|-------|----------|--|----------|-------|
| (a) | Mutual Funds | 1 | 11000 | | | 11000 | 0.04 | 11000 | | 11000 | 0.02 |
| Sub-Total (B)(1) | | 1 | 11000 | | | 11000 | 0.04 | 11000 | | 11000 | 0.02 |
| (3) | | Non-institutions | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 21311 | 6723146 | | | 6723146 | 23.06 | 6723146 | | 6723146 | 11.97 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 91 | 6567688 | | | 6567688 | 22.53 | 6567688 | | 6567688 | 11.7 |
| (b) | NBFCs registered with RBI | 1 | 4400 | | | 4400 | 0.02 | 4400 | | 4400 | 0.01 |
| (e) | Any Other (specify) | 473 | 14250827 | | | 14250827 | 48.89 | 41250827 | | 41250827 | 73.47 |
| Sub-Total (B)(3) | | 21876 | 27546061 | | | 27546061 | 94.5 | 54546061 | | 54546061 | 97.14 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 21877 | 27557061 | | | 27557061 | 94.54 | 54557061 | | 54557061 | 97.16 |
| C | | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | |
| Total (A+B+C2) | | 21885 | 29150100 | | | 29150100 | 100 | 56150100 | | 56150100 | 100 |
| Total (A+B+C) | | 21885 | 29150100 | | | 29150100 | 100 | 56150100 | | 56150100 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|---|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 5.29 | | | 115000 | 7.45 | 1427865 |
| (d) | | | | 0.17 | | | 0 | 0 | 50074 |
| Sub-Total (A)(1) | | | | 5.46 | | | 115000 | 7.22 | 1477939 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 5.46 | | | 115000 | 7.22 | 1477939 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 0.04 | 0 | 0 | | | 0 |
| Sub-Total (B)(1) | | | | 0.04 | 0 | 0 | | | 0 |
| (3) | Non-institutions | | | | | | | | |

| | | | | | | | | | |
|---|---|--|--|-------|----------|-------|--------|------|----------|
| (a(i)) | | | | 23.06 | 95000 | 1.41 | | | 4655746 |
| (a(ii)) | | | | 22.53 | 1705000 | 25.96 | | | 6493588 |
| (b) | | | | 0.02 | 0 | 0 | | | 4400 |
| (e) | | | | 48.89 | 12130568 | 85.12 | | | 13684027 |
| Sub-Total (B)(3) | | | | 94.5 | 13930568 | 50.57 | | | 24837761 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 94.54 | 13930568 | 50.55 | | | 24837761 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | 13930568 | 47.79 | | | 26315700 |
| Total (A+B+C) | | | | 100 | 13930568 | 47.79 | 115000 | 0.39 | 26315700 |

| Individuals/Hindu undivided Family | | | | | | |
|---|--------------------|----------------|-------------|----------------|-------------|-----------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | |
| Name of the Shareholders (I) | BIMAL KUMAR NOPANY | NANDINI NOPANY | SHRUTI VORA | SHALINI NOPANY | URVI NOPANY | Click here to go back |
| PAN (II) | ABRPN7296B | ABMPN7831R | ABTPV0366J | ADCPN9494Q | AJUPN1989J | Total |
| No. of fully paid up equity shares held (IV) | 402865 | 310000 | 310000 | 260000 | 260000 | 1542865 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares | 402865 | 310000 | 310000 | 260000 | 260000 | 1542865 |

| | | | | | | | |
|---|--------|--------|--------|--------|--------|---------|--|
| held (VII) = (IV)+(V)+ (VI) | | | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.38 | 1.06 | 1.06 | 0.89 | 0.89 | 5.29 | |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 402865 | 310000 | 310000 | 260000 | 260000 | 1542865 | |
| Class eg:y | | | | | | | |
| Total | 402865 | 310000 | 310000 | 260000 | 260000 | 1542865 | |
| Total as a % of Total Voting rights | 0.72 | 0.55 | 0.55 | 0.46 | 0.46 | 2.75 | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible | 1.38 | 1.06 | 1.06 | 0.89 | 0.89 | 5.29 | |

| | | | | | | | |
|--|----------|----------------|----------------|----------------|----------------|---------|--|
| securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | | | | | | | |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | 115000 | 0 | 0 | 0 | 0 | 115000 | |
| As a % of total Shares held (b) | 28.55 | 0 | 0 | 0 | 0 | 7.45 | |
| Number of equity shares held in dematerialized form (XIV) | 287865 | 310000 | 310000 | 260000 | 260000 | 1427865 | |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |
| Shareholder type | Promoter | Promoter Group | Promoter Group | Promoter Group | Promoter Group | | |

| | | | | |
|----------------------------|------------------|------------------|------------------|-----------------------|
| Any Other (specify) | | | | |
| Searial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| | | | | |

| | | | | |
|--|----------------|-----------------------|----------------------------|-------|
| Name of the Shareholders (I) | SHRUTI LIMITED | NOPANY & SONS PVT LTD | NOPANY INVESTMENTS PVT LTD | |
| PAN (II) | AADCS7585G | AAACN8405F | AAACN8354R | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 47734 | 601 | 1839 | 50174 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 47734 | 601 | 1839 | 50174 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.16 | 0 | 0.01 | 0.17 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 47734 | 601 | 1839 | 50174 |
| Class eg:y | | | | |
| Total | 47734 | 601 | 1839 | 50174 |
| Total as a % of Total Voting rights | 0.09 | 0 | 0 | 0.09 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 0.16 | 0 | 0.01 | 0.17 |

| | | | | |
|---|----------|----------------|----------------|-------|
| capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | | | |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | 0 | 0 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 47734 | 601 | 1739 | 50074 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |
| Shareholder type | Promoter | Promoter Group | Promoter Group | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Searial No. | 1 | 2 | 3 | |
|---|--------------|------------------|---------------------------|---------------------------------------|
| Name of the Shareholders (I) | MADHU TIWARI | AJAY KUMAR KABRA | SAMBASIVAM VENKATA RAMANI | Click here to go back |
| PAN (II) | ADAPT1757G | AFYPK6383B | AABPR9796B | Total |
| No. of fully paid up equity shares held (IV) | 700000 | 610000 | 361671 | 1671671 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = | 700000 | 610000 | 361671 | 1671671 |

| | | | | |
|--|--------|--------|--------|---------|
| (IV)+(V)+ (VI) | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.4 | 2.09 | 1.24 | 5.73 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 700000 | 610000 | 361671 | 1671671 |
| Class eg:y | | | | |
| Total | 700000 | 610000 | 361671 | 1671671 |
| Total as a % of Total Voting rights | 1.25 | 1.09 | 0.64 | 2.98 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.4 | 2.09 | 1.24 | 5.73 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | 700000 | 610000 | 0 | 1310000 |
| As a % of total Shares held (b) | 100 | 100 | 0 | 78.36 |
| Number of equity shares held in dematerialized form (XIV) | 700000 | 610000 | 361671 | 1671671 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

Any Other (specify)

| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 |
|---|--|--|--|--|--|--|
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding |
| Name of the Shareholders (I) | DAULATRAM RAWATMULL PRIVATE LIMITED | NOPANY MARKETING CO PVT LTD | INDIAN DIE CASTING CO. LTD. | KOLHAPUR FORGE PVT LTD | JALDHARA HOLDINGS PVT. LTD. | NNP Trading and Investment Pvt Ltd |
| PAN (II) | AAACD8819P | AABCN0118Q | AAACI5268B | AABCK3253F | AAACJ0444L | AAACN9803B |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 3450000 | 3425000 | 2555568 | 1500000 | 1200000 | 577636 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+(VI) | 3450000 | 3425000 | 2555568 | 1500000 | 1200000 | 577636 |

| | | | | | | | |
|--|---------|---------|---------|---------|---------|--------|--|
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 11.84 | 11.75 | 8.77 | 5.15 | 4.12 | 1.98 | |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 3450000 | 3425000 | 2555568 | 1500000 | 1200000 | 577636 | |
| Class eg:y | | | | | | | |
| Total | 3450000 | 3425000 | 2555568 | 1500000 | 1200000 | 577636 | |
| Total as a % of Total Voting rights | 6.14 | 6.1 | 4.55 | 2.67 | 2.14 | 1.03 | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full | 11.84 | 11.75 | 8.77 | 5.15 | 4.12 | 1.98 | |

| | | | | | | | |
|--|---------|---------|---------|---------|---------|--------|--|
| conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | | | | | | |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | 3450000 | 3425000 | 2555568 | 1500000 | 1200000 | 0 | |
| As a % of total Shares held (b) | 100 | 100 | 100 | 100 | 100 | 0 | |
| Number of equity shares held in dematerialized form (XIV) | 3450000 | 3425000 | 2555568 | 1500000 | 1200000 | 577636 | |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| | |
|-----------------------------------|---------------------------------------|
| Any Other (specify) | |
| Serial No. | |
| Category | |
| Category / More than 1 percentage | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |

| | | |
|--|---|--|
| No. of the Shareholders (I) | 0 | |
| No. of fully paid up equity shares held (IV) | 0 | |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 0 | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0 | |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 0 | |
| Class eg:y | | |
| Total | 0 | |
| Total as a % of Total Voting rights | 0 | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0 | |
| Number of Locked in shares (XII) | | |
| No. (a) | 0 | |
| As a % of total Shares held (b) | 0 | |
| Number of equity shares held in dematerialized form (XIV) | 0 | |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality

(Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence